

OCT-07-2005 14:28

SYMYX TECHNOLOGIES INC

+1 408 773 4029 P.08

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail****Mail Stop ISSUE FEE**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
**or Fax (571) 273-2885**

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Name: Use Block 1 for any change of address)

7590 08/10/2005

MADELINE JOHNSTON, ESQ  
KING & SPALDING LLP  
191 PEACHTREE STREET  
ATLANTA, GA 30303-1763

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Suzanne Shadley (Depositor's name)  
Suzanne Shadley (Signature)  
10-7-05 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10074745	02/11/2002	Peter G. Schultz	220032001301	2759

TITLE OF INVENTION: COMBINATORIAL SYNTHESIS OF NOVEL MATERIALS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	11/10/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
SHIBUYA, MARK LANCE	1639	526-065000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB-122) attached.☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB-47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

## (A) NAME OF ASSIGNEE

The Regents of the University of California  
AND Symyx Technologies, Inc.

## (B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Berkeley, CA, a corporation  
Santa Clara, CA, a corporationPlease check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

## 4a. The following fee(s) are enclosed:

☒ Issue Fee☒ Publication Fee (No small entity discount permitted)☐ Advance Order - # of Copies \_\_\_\_\_

## 4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-0496 (enclose an extra copy of this form).

## 5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Ronald A. Krasnow

Date

10-7-05

Typed or printed name

Ronald A. Krasnow

Registration No.

33,321

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTOL-85 (Rev. 07/05) Approved for use through 04/30/2007.

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

10/11/2005 TBESHAH2 00000015 500496 10074745

01 FC:1501 1400.00 DA  
02 FC:1504 300.00 DA



SYMIX TECHNOLOGIES, INC.  
3100 CENTRAL EXPRESSWAY  
SANTA CLARA, CA 95051  
PHONE: 408-773-4024  
FAX: 408-773-4029

### FAX TRANSMITTAL SHEET

Date:	October <u>7</u> , 2005	From:	Ronald A. Krasnow Reg. No. 33,321
To:	Mail Stop ISSUE FEES Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450	Docket:	94-1DIV2CON2
		Fax No:	(571) 273-2885

Number of pages included in this fax: 8

In re Application of:	Schultz et al.	Confirmation No.	2759
Serial No.:	10/074,745	Group Art Unit:	1639
Filed:	2/11/02	Examiner:	Shibuya, Mark L.
For:	Combinatorial Synthesis And Screening Of Non-Biological Polymers		

Dear Sir:

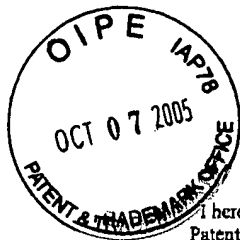
Enclosed please find copies of the following documents to be filed regarding the above identified patent application:

- Transmittal (3 pages in duplicate)
- Issue Fee Transmittal – Part B (1 page)

Please enter these into the file and contact us if necessary.

Respectfully Submitted,  
Ronald A. Krasnow  
Reg. No. 33,321

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Docket No. 94-1DIV2CON2  
**PATENT**

## CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office at facsimile number (571) 273-2885 addressed to: Mail Stop ISSUE FEES, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 10-7-05

BY:

  
Suzanne ShadleyIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Schultz et al.

Serial No.: 10/074,745

Filed: 2/11/02

For: Combinatorial Synthesis And Screening  
Of Non-Biological Polymers

Confirmation No. 2759

Group Art Unit: 1639

Examiner: Shibuya, Mark L.

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith (check all that apply):

- |  |   |
|--|---|
| <input type="checkbox"/> Preliminary Amendment             | <input type="checkbox"/> Information Disclosure Statement |
| <input type="checkbox"/> Response/Amendment A              | <input type="checkbox"/> Petition Under 37 CFR 1.97(d)(2) |
| <input type="checkbox"/> Response/Amendment After Final    | <input type="checkbox"/> Formal Drawings                  |
| <input type="checkbox"/> Supplemental Amendment            | <input type="checkbox"/> Declaration Under 37 CFR 1.131   |
| <input type="checkbox"/> Affidavits/Declarations           | <input type="checkbox"/> Declaration Under 37 CFR 1.132   |
| <input type="checkbox"/> Declaration and Power of Attorney | <input type="checkbox"/> Terminal Disclaimer              |
| <input type="checkbox"/> Supplemental Declaration          | <input type="checkbox"/> Small Entity Statement           |
| <input type="checkbox"/> Power of Attorney                 | <input type="checkbox"/> Request for Refund               |
| <input type="checkbox"/> Change of Correspondence Address  | <input type="checkbox"/> Appeal                           |
| <input type="checkbox"/> Associate Power of Attorney       | <input checked="" type="checkbox"/> Issue Fee Transmittal |
| <input type="checkbox"/> Response to Missing Parts         | <input type="checkbox"/> Status Letter                    |

to be filed in the above-identified patent application.

Docket No. 94-1DIV2CON2  
**PATENT****TOTAL AMOUNT OF PAYMENT: \$ 1,700.00****METHOD OF PAYMENT**

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-0496

Deposit Account Name: Symyx Technologies, Inc.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Charge the Issue Fee Set it 37 CFR 1.18 at the Mailing of the Notice of Allowance**FEE CALCULATION****1.EXTRA CLAIM FEES**

				Extra Claims	Fee from below		Fee Paid	
Total Claims			-20** =		X		=	
Independent Claims			-3** =		X		=	
Multiple Dependent Claims (first appearance) \$360/180								
**or number previously paid, if greater; For Reissues, see below								
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description				
1202	50	2202	25	Claims in excess of 20				
1201	200	2201	100	Independent claims in excess of 3				
1203	360	2203	180	Multiple dependent claim, if not paid				
1204	200	2204	100	**Reissue independent claims over original patent				
1205	50	2205	25	**Reissue claims in excess of 20 and over original patent				
SUBTOTAL (2)								(\$)

Docket No. 94-1DIV2CON2  
PATENT

## 2. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	500	2452	250	Petition to revive - unavoidable	
1453	1,500	2453	750	Petition to revive - unintentional	
1501	1,400	2501	700	Utility issue fee (or reissue)	1,400.00
1502	800	2502	400	Design issue fee	
1503	1,100	2503	550	Plant issue fee	
1462	400	1462	400	Petitions to the Director not specifically provided for (Group I)	
1807	50	1807	50	Petitions related to provisional applications	
1806	180	1806	180	Submission of Information Disclosure Statement	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) Publication Fee 1.18(d)					300.00
SUBTOTAL (3) (\$)					1,700.00

\*Reduced by Basic Filing Fee Paid

Respectfully submitted,

Ronald A. Krasnow  
Reg. No. 33,321  
Attorney for Applicant(s)

Date: 10-7-05

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